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**Original Article** 

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# **Contact heat evoked potentials as a tool to study the lumbar region: normative data**

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# **ABSTRACT**

**Objective:** This study aims to establish normal CHEPs data from the lumbar region.

**Methods:** Healthy subjects underwent CHEPs stimuli, being applied on lumbar (L1), and recorded in Cz and Pz, according to the standard technique. All the patients were divided by age and gender. We measured the influences of verbal rating scale (VRS) as well as age on N2-P2 amplitudes. Similarly, we tested the effect of height and age on N2 and P2 latencies. The  $5<sup>th</sup>$  percentile for amplitudes and  $95<sup>th</sup>$  percentile for latencies were also calculated.

**Results:** 36 patients were enrolled in this study. Only 1 out of 36 patient was excluded for not having recordable CHEPs. Differently from some studies, no impact of VRS and height was found on the variables N2-P2 amplitudes and N2 and P2 latencies, respectively. Although larger amplitudes and shorter latencies



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had been uncovered for females, no statistically significant differences were found. However, age was significantly correlated to N2-P2 amplitudes, N2 and P2 latencies over L1. Normative data was similar to the published for other centers.

**Conclusion:** we provided normative data for CHEPs usage in the study of lumbar pathologies. Age was the only element of influence in the CHEPs parameters

**Significance:** CHEPs may be regarded a valuable method to assess small fiber impairment in patients with lumbar pathologies.

**Keywords:** *evoked potentials, pain, heat stimulation, contact heat evoked potential*

#### **INTRODUCTION**

n the study and evaluation of pain fibers and their pathways, an ideal scenario would be a method that provokes a painful stimulus in a painspecific manner; controlled, safe, and repeated that did not bring damage to the stimulated tissue. Although often used in the study of pain related to heat stimuli and thus the evaluation of thin fibers, laser evoked potential (LEPs) is based on the mechanism of small skin area stimulation (<5mm diameter). Doing so, it may not correspond to the reality of a skin stimulus evoked by heat naturally captured by larger extensions of the skin and its receptors. (Mor et al. , 1975, Chen et al. , 2001) I

More and more used in studies of pain syndromes, contact heat-evoked potentials (CHEPs) has emerged as an effective electrophysiological evaluation method (Atherton et al. , 2007). In a practical way, it may be considered an equivalent somatosensory evoked potential for small fibers, evaluating the spinothalamic tract. Despite already exists diagnostic methods for assessing the thermal sensation and consequently fine fiber lesions; none are more objective for assessment of fine fibers than CHEPs. (Pralong et al. , 2004, Atherton et al. , 2007, Truini et al. , 2007)

Based on stimulation by heat, CHEPs can evoke brain potentials quite reliably. Releasing heat stimuli quickly, on the order of  $70^{\circ}$  C / s, a peak temperature is reached 360 ms after the stimulation, lasting 300 ms. Thus, it stimulates cutaneous nociceptors repetitively and in a wide area of skin, evoking brain responses based on the target temperature (Le Pera et al. , 2002, Lagerburg et al. , 2015). Hence, the signal is transmitted throughout C and A delta fibers to the central nervous system. Potential recorded by the A-delta fibers, with its highest point record in the vertex, results from the average cingulate cortex activation (Kakigi et al. , 2000, Valeriani et al. , 2002, Atherton et al. , 2007). Thus, electrodes placed on the vertex of the scalp can obtain evoked potentials, which permit a real evaluation of thermal nociceptive pathways (Chen et al. , 2001, Iannetti et al. , 2001, Atherton et al. , 2007, Cruccu et al. , 2008, Wydenkeller et al. , 2008, Haefeli et al. , 2013).

Moreover, it can be said that CHEPS is the brain response to a heat painful stimuli, and are strongly correlated with the perception of pain. In this regard, patients with neuropathic pain reveal a reduction in the amplitude of evoked pain potential. Latencies and potential amplitudes are strong and quite reproducible (Chen et al. , 2001, Valeriani et al. , 2002, Arendt-Nielsen et al. , 2003, Pralong et al. , 2004, Atherton et al. , 2007).

Nevertheless, the CHEPs amplitude and latency correlate with the verbal rating scale (VRS) of pain in healthy subjects: the higher the pain score, the lower the N2 latency and the greater the range N2-P2. This finding, in turn, may suggest the viability of nociceptive pathways (Le Pera et al. , 2002, Chao et al. , 2007). As a consequence, reduction of N2-P2 amplitude and increased potential latency may represent small fiber damage. Therefore CHEPS may show central nervous system activities that are affected by both peripheral deafferentation and changes in central pain processing (Valeriani et al. , 2002, Chen et al. , 2006, Chao et al. , 2008).

In clinical studies carried out by the concomitant use of CHEPS and skin biopsy, it has demonstrated a good correlation between both methods. That is, the greater the small fibers lesion, as evidenced by a lower density of epidermal fibers in the biopsy, the higher the latency, and the smaller the potential amplitude in CHEPS (Atherton et al. , 2007, Casanova-Molla et al. , 2011a, Casanova-Molla et al. , 2011b).

Despite the increased usage of CHEPS, there are still few studies regarding normative data of amplitudes and latencies for the lumbar region (Chen et al. , 2006, Lagerburg et al. , 2015, Granovsky et al. , 2016). Those data could allow critical studies in low back pain as well as any other condition from this region. Therefore, this study addresses CHEPs normative data to stimulation of lumbar spine, as well as assessing the variables of influence in the CHEPs parameters.

#### **METHODS**

#### *Subjects*

We analyzed 36 healthy volunteer individuals with a normal neurological examination, between 18 and 75 years old. There were 18 men  $(47,82 \pm 1)$ 17,01 years old, range 28-78 years) and 17 women  $(49,22 \pm 16,78)$  years old, range 27-75 years). The age between both genders was not significantly different. No previous history of drug use, medications or diseases that predisposed to polyneuropathies, such as diabetes, amyloidosis, sarcoidosis, hepatitis, kidney failure or alcoholism was found. All data were collected prospectively. In order to obtain standardization of CHEPS data, we divided patients according to sex and age: 18- 29 years (3 men, 3 women); 30-39 years (3 men, 3

women); 40-49 years old (3 men, 3 women); 50-59 years (3 men, 3 women); 60-69 years (3 men, 2 women); 70-79 years (3 men, 3 women). All the subjects according to the Helsinki Convention signed the consent form, and the hospital ethical committee approved the study.

# *Contact heat stimulation and recordings*

All patients remained in supine position for evaluation of lower limbs and lateral position to evaluate the lumbar region during the exam. The room was kept in the average temperature of 22 degrees Celsius. All tests were performed in a quiet room, with the patient remaining comfortable during the exam. We asked all patients to maintain wakefulness during the test and avoid blinking, focusing on a predetermined imaginary point.

As standardization and prevention of cutaneous lesions, the maximum temperature reached during the stimulation was 51 degrees Celsius. All the patients were warned about the painful nature of the stimulus, although no skin lesion happens with the temperature employed. Using thermal stimulator contact (Medoc, Ramat

Yishai, Israel), the lumbar spine (L1, spinous process) was stimulated.

Each stimulus was made in pulsatile fashion, lasting up to 12 seconds. Each one was made randomly moving the thermode around the member (and therefore in different locations), avoided the process of habituation of the painful phenomenon. Not least, the same gradation pressure with thermode on the skin was attempted during the examination performed for each stimulus.

Still, after each stimulus of 51 degrees Celsius with the thermode, we asked the patients for graduating the pain evoked by the CHEPS. We did that by using the VRS scale, whereas 0 meant no pain and 10 the worst pain possible.

The Stimulation parameters used were:

1. 51 °C, independent of the sense of responses of the subject and the characteristics of the waves.

2. Interval between stimuli (ISI) of 8-12s

3. Two stimulus series (10 stimuli each) for each body site; carried out in the affected dermatome according to previous clinical examination; order of random stimulation within the same dermatome.

4. At least 30s interval between two subsequent series.

5. Thermode was subtly moved horizontally after each stimulus without overlapping between two subsequent stimulation sites.

#### *Statistical analyses*

A p value lesser than 0,05 was used as statistically significant, rejecting the null hypothesis. By searching for normal distribution of a variable, Kolmogorov-Smirnov test was used. All the numerical data were revealed by mean  $\pm$  SD since CHEPs latencies and amplitudes had a normal distribution. Chi-square or Fisher's exact test was used for comparing differences between nominal variables.

Aiming to evaluate gender differences, we divided females and males in two different samples, matched for height and age. After demonstrating no difference between these two groups, latency and amplitude were measured by unpaired t-test for the site stimulated (L1).

Amplitudes N2-P2, as well as N2 and P2 latencies, were recorded at L1, as mentioned earlier. An independent physician performed and evaluated those CHEPS parameters. Since age, body height and VRS had normal distribution we measured the influence of age and VRS on CHEPs parameters by using N2- P2 amplitude as dependent variables in the multiple regression analyses and Pearson's correlation. In the same way, examining the influence of age and height on N<sub>2</sub> and P<sub>2</sub> latencies, the same type of analyses was made, considering the latencies as dependent variables.

Still, we estimated if there was any difference regarding the gender for recordable and not recordable CHEPs also by using Chi-square test. Since almost all latencies and amplitudes were influenced by age (and not by height), we calculated the normal limit of amplitudes by the mean expected and the  $5<sup>th</sup>$  percentile, to determine a cut-off. For latencies, we calculated the mean value and the  $95<sup>th</sup>$  percentile. As a result, amplitudes and latencies reference data could be calculated disregarding height.

As none of parameters were significantly different between female and male, those values were computed disregarding the variable gender and considering only age.

#### **RESULTS**

#### *Subjects*

Thirty-six subjects were enrolled for the study. In total there were 18 females and 18 males. One out of 36 subjects were excluded from this study for not having any CHEPs recordable, remaining 17 women and 18 men. The mean age was  $48.54 \pm$ 16.6 years. The average height was  $168 \pm 9.5$  cm. There was no difference between male and female regarding age  $(p= 0.4)$  and height  $(p= 0.7)$ . The mean VRS (visual rating scale) provoked by the CHEPs thermode was 6. In total, we were able to obtain CHEPs waveforms at L1 in 97,1% of patients (34 out of 35 patients). The mean N2 and P2 latencies were  $424.6 \pm 64.4$  and  $568.6 \pm 80.3$ ms respectively. The mean N2-P2 amplitude was  $25.61 \pm 11.6 \mu V$ . Table 1 shows the demographic and CHEPs data from the subjects.

#### *Gender differences*

Since we did not find any difference between gender regarding age and height, we tested the influence of gender on CHEPs parameters. Doing so, no difference was found for N2-P2 amplitudes  $(p= 0.1)$ , N2 latencies  $(p= 0.4)$  and P2 latencies  $(p= 0.1)$ 0.2), concerning gender.

# *The influence of VRS and age on N2-P2 amplitudes*

No significant effect caused by the variable VRS was found for N2-P2 amplitudes. However, age was negatively correlated to  $N2-P2$  latencies ( $p=$ 0,01). This finding revealed a significant decrease on N2-P2 amplitudes, as individuals get older – figure 1.

# *Effects of age and height on N2 and P2 latencies*

N2 ( $p = 0.003$ ) and P2 latencies ( $p=0.02$ ) were negatively influenced for the variable age (figure 2). Surprisingly, no effect on N2 and P2 latencies was found by the variable height. Altogether, these results showed a significant increase of N2 and P2 latencies across the lifespan.

#### *Normal limits*

Table 2 shows means, SD, upper normal limits  $(95<sup>th</sup>$  percentile for latencies) and lower limits  $(5<sup>th</sup>$ percentile for amplitudes) for all the CHEPs parameters. These values were calculated after stratification by age, disregarding gender (since there was no difference in amplitudes and latencies considering gender).

#### **DISCUSSION**

Understanding the pathophysiology of low back pain is of paramount importance given its prevalence and effects in quality of life. By providing normative values from the lumbar region, one could contribute to the study of diverse pathologies from this site. This may include low back pain (and other neuropathic pains from this site), traumatic spinal cord lesion, tumors and syringomyelia affecting the lumbar region. Since the method is versatile, one could use it in the study from any lumbar dermatome, in particular, depending on the level of the affection. Besides the diagnostic value of CHEPs, identifying the right level of lesion, tracking the response to any given treatment or monitoring signs of neurological deterioration may be some of the advantages of this method (Ulrich et al. , 2013).

All these information might also be critical in the context of surgical intervention, for example (Haefeli et al. , 2013). Since the majority of sensory function is evaluated by subjective measures, such as testing light touch and pinprick sensation (Maynard et al. , 1997), those evaluations could be better explored by using CHEPs, which provides more objective measurements throughout latencies and amplitudes (Jutzeler et al. , 2016).

Thus, few studies have evaluated the lumbar spine with the use of CHEPs. Given its proximity to the medulla, high CHEPs reproducibility rates in this region have been described (Selvarajah et al. , 2006, Parson et al. , 2013). Such characteristics place such method as promising in the study of painful conditions in this region (Parson et al. , 2013). Significantly, it is well known that some conditions, such as diabetes, may occur with early spinal cord involvement in the evolution of the disease, making it an ideal site for evaluation of peripheral nerve disorders (Selvarajah et al. , 2006). However, normative data should be acquired before using such method in the clinical scenario.

By recording CHEPs from L1, we were able to reproduce a significant amount of CHEPs waveforms (97,1%). Doing so, we obtained some normative data regarding N2 and P2 latencies, and peak-to-peak N2-P2 amplitudes. Characteristically, our mean L1 amplitude was lower (25.61 versus  $44.93 \mu V$ ) than Parson's et al. study (Parson et al., 2013). However, our amplitude was similar to the data reported by Granovsky et al., who described 26.5  $\mu$ V for women and 19.2  $\mu$ V for men (Granovsky et al. , 2016). Regarding latencies, there were no discrepancies among our data and from other authors. Our mean N2 latencies were 424.6 ms (versus 407.03 from Parson's et al. study and 445.5 ms from the Granovsky's et al. study). Taken together, the latencies here found were characteristic of  $A\delta$  fibers (Chen et al., 2001), with N2 peak latency around 500 ms.

Importantly, the differences regarding latencies and amplitudes may result from the stimulus temperature or from its duration (although we used the standardized temperature according to the CHEPs protocol) (Granovsky et al. , 2016). Still possible, different characteristics from the skin stimulated (thickness, hairiness, texture or even unknown skin proprieties from our population) may play a role in the different latencies and amplitudes found among the centers (Granovsky et al. , 2016).

Although not statistically different, there was a tendency for different CHEPs values regarding gender. This is according to Lagerburg et al., who found different amplitudes between males and females (Lagerburg et al. , 2015). A greater sample in our study could have overcome this lack of statistical difference for CHEPs data between male and female.

Similar to other studies (Lagerburg et al. , 2015, Granovsky et al. , 2016), both amplitudes and latencies were influenced by age. Significant negative correlation was found between age and N2-P2 amplitudes, with ager people showing lower amplitudes than the younger ones (fig.1). Inversely, N2 and P2 latencies were positively correlated to the age factor (fig.2). This represented an increment in latencies values as the age increased. The afferent input may be reduced secondarily to a neuronal loss, the aging brain or maybe any hypothetic peripheral nerve dysfunction resultant from the aging process. That pathophysiology could explain why the amplitudes and latencies correlate with the variable age in a

significant manner (Gagliese et al. , 2000, Gibson et al. , 2001, Truini et al. , 2005). Since we stimulated each place no more than once at a time, we could assure that no habituation process occurred during the stimuli, which avoided this type of bias in our study.

Despite sufficient evidence has shown the correlation between the noticed pain intensity (by means of VRS) and the CHEPs amplitudes (Chen et al. , 2001, Atherton et al. , 2007, Casanova-Molla et al. , 2011a, Granovsky et al. , 2016), no significant effects on amplitudes were found in our study concerning VRS. Similar results were achieved by Chen et al. (Chen et al. , 2006). As these authors suggest, it is possible that there may be only an indirect relationship between painevoked potentials and peripheral nociceptive conduction (Chen et al. , 2006). Although conceptually regarded as a heat-evoked method and therefore painful, this was not a major issue in the study, with only a few patients complaining about the exam itself.

Realistically, as already stated for LEPS studies (Qiu et al. , 2002, Truini et al. , 2005), the significant interindividual difference, along with age and height decrement in CHEPS amplitudes, makes this parameter as one of limited usefulness. The exception could be if one find a unilateral alteration with normal contralateral CHEPs (Truini et al. , 2005).

Likewise, no relationship was seen between latencies and height. This finding contradicts what some papers have been shown, where a positive correlation between body height and latency in CHEPS may exist (Truini et al. , 2005, Chao et al. , 2007). However, results analogous to ours were also reported (Chen et al. , 2006), where no significant correlation between body height and latency was found. Despite some disparate results from different centers, taken together, the majority of studies agree with the variable age being a critical variable when considering amplitudes and latencies (Besné et al. , 2002, Chang et al. , 2004, Chen et al. , 2006, Chao et al. , 2007, Granovsky et al. , 2016).

The method (CHEPs) described here for evaluating patients with low back pain is easy, cost-effective and not time demanding, as already reported in some studies regarding other conditions (Wong et al. , 2011, Ulrich et al. , 2013). However, some limitations should be addressed. Although CHEPs is already well established as a reliable method in neurophysiology, it has only been used in the context of research and not in the clinical setting. One of its limitations relies on how one should interpret CHEPs amplitudes. This variable is subject to the influence of patient's attention in addition to the remarkable between-individuals variability (Beydoun et al. , 1993, Le Pera et al. , 2002, Jutzeler et al. , 2016). Therefore, is advisable to value only the variable latency, before more studies can clarify the role of amplitudes on CHEPs studies. Since we use fix temperatures of stimulation (as already standardized in CHEPs studies,  $51^{\circ}$  C) we may have misinterpreted the correlation between VRS and CHEPs amplitudes, assuming no correlation between those variables. This effect may be due to the different sensations under  $51^\circ$  C stimuli, with some individuals reporting unbearable pain and others do not. By adapting the temperature of the stimulus for each pain threshold may have solved this problem.

#### **CONCLUSION**

The present study provides normative CHEPs data

in healthy subjects, from L1. The only factor regarded as influential in latencies and amplitudes was age. The normative data could be applied to diagnose a series of lumbar back pain affections, as well as to predict the prognosis of it. Importantly,

CHEPs can be utilized to track the success of any treatment, such as conservative (e. g. drugs) or surgical ones. Nonetheless, new studies addressing this subject, with a larger population are demanding.







N2= N2 latency (ms)

N2-P2= N2-P2 amplitude  $(\mu V)$ 

NR = not recorded



Table 2. N2-P2 amplitudes, N2 and P2 latencies as normative values found by age

\* 5<sup>th</sup> percentile for amplitudes and 95<sup>th</sup> percentile for latencies



 $\circ^{\circ}$ 

 $20$ 

 $\mathbf 0$ 

 $20$ 

 $\infty$ 

 $\circ$ 

 $30$ 

 $\frac{1}{\sqrt{2}}$ 

 $\circ$ 

 $40$ 

 $\circ$ 

 $\circ$ 

 $\circ$ 

 $70$ 

 $\circ$  $p = 0,01$   $\circ$ 

 $\circ$ 

 $\frac{1}{80}$ 

 $\circ$  $\circ$ 

 $\infty$ 

60

 $\circ$ 

 $50$ 

Age

Figure 1. Negative correlation between amplitudes and age. No association between N2-P2 amplitudes and

 $R^2$  Linear = 0,169

VAS was found (not shown)

Figure 2. Correlation between latencies and age. Positive correlation was found between age and N2 latencies and age and P2 latencies. There were no correlation between height and latency (not shown).





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# **HIGHLIGHTS**

Contact heat evoked potentials allow the assessment of  $A\delta$  and C fibers.

There is a lack of CHEPs data regarding the lumbar region.

Throughout CHEPs normative data, the identification of small fibers impairment in lumbar pathologies can

be achieved and, therefore, a better understanding of the pathophysiology of low back pain may result.

**DECLARATION:** I declare that this article has not been published elesewhere.

# **Conflicts of Interest:** Nil

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